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PATENT & TRADEMARK OFFICE

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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 Date: July 2, 2004 Name: James A. Collins Signature: [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Farrell et al.
 Appln. No.: 10/713,126
 Filed: November 14, 2003
 For: System and Method for Controlling Markets During a Stop Loss Trigger

Attorney Docket No: 4672/310

Examiner: To be assigned

Art Unit: 3622

BRINKS
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TRANSMITTAL

Sir: **Attached is/are:**

☒ Rescission of Previous Nonpublication Request 35 U.S.C. 122(b)(2)(B)(ii) and, if applicable, Notice of Foreign Filing (35 U.S.C. 122(b)(2)(111)).

☒ Return Receipt Postcard

Fee calculation:

☐ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).

☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).

☐ An additional filing fee has been calculated as shown below:

| | Claims Remaining After Amendment | Highest No. Previously Paid For | Present Extra | Small Entity | | or | Not a Small Entity | |
|---|----------------------------------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | | | | Rate | Add'l Fee | | Rate | Add'l Fee |
| | | | | x \$9= | | | x \$18= | |
| | | | | x 43= | | | x \$86= | |
| | | | | +\$145= | | | +\$290= | |
| Total | | Minus | | | | | Total | \$ |
| Indep. | | Minus | | | | | | |
| First Presentation of Multiple Dep. Claim | | | | | | | | |

Fee payment:

☐ A check in the amount of \$_____ is enclosed.

☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____.

☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

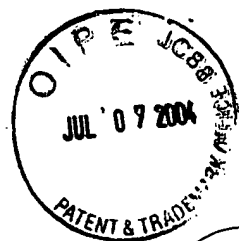
Respectfully submitted,

[Signature]
 James A. Collins (Reg. No. 43,557)
 Agent for Applicants

Customer No.: 00757

BRINKS HOFER GILSON & LIONE
 NRC Tower - Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

July 2, 2004
 Date



IFW

PTO/SB/36 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**RESCISSION OF PREVIOUS
NONPUBLICATION REQUEST
35 U.S.C. 122(b)(2)(B)(ii)
AND, IF APPLICABLE,
NOTICE OF FOREIGN FILING
(35 U.S.C. 122(b)(2)(B)(iii))**

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| | | |
|----------------------|--|----------------|
| Application Number | | 10/713,126 |
| Filing Date | | 11/14/2003 |
| First Named Inventor | | Farrell et al. |
| Title | System and Method for Controlling Markets During a Stop Loss Trigger | |
| Atty Docket Number | | 4672/310 |
| Group Art Unit | | 3622 |
| Examiner | | To Be Assigned |

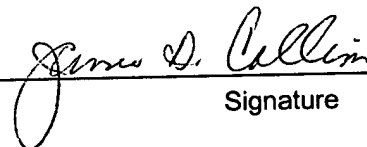
A request that the above-identified application not be published under 35 U.S.C. 122(b) (nonpublication request) was included with the above-identified application on filing pursuant to 35 U.S.C. 122(b)(2)(B)(i). I hereby **rescind** the previous nonpublication request.

If a notice of foreign or international filing is or will be required by 35 U.S.C. 122(b)(2)(B)(iii) and 37 CFR 1.213(c), I hereby provide such notice. This notice is being provided no later than forty-five (45) days after the date of such foreign or international filing.

If a notice of subsequent foreign or international filing required by 35 U.S.C. 122(b)(2)(B)(iii) and 37 CFR 1.213(c) was not filed within forty-five (45) days after the date of filing of the foreign or international application, the application is ABANDONED, and a petition to revive under 37 CFR 1.137(b) is required. See 37 CFR 1.137(f).

July 2, 2004

Date



Signature

James A. Collins

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b).

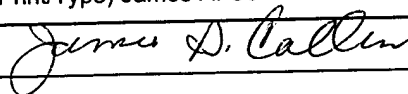
If information or assistance is needed in completing this form, please contact the Pre-Grant Publication Division at (703) 605-4283 or by e-mail at PGPub@USPTO.gov.

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July 2, 2004